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\*LIMITED TO MATTERS AND PROCEEDINGS BEFORE FEDERAL COURTS & AGENCIES \*\*REGISTERED PATENT AGENT \*\*SENIOR COUNSEL

January 2, 2002

WRITER'S DIRECT NUMBER: (202) 371-2673
INTERNET ADDRESS:
JCOVERT@SKGF.COM

**Box:** Missing Parts

Art Unit: 1623

Commissioner for Patents Washington, D.C. 20231

Re: U.S. Utility Patent Application

Appl. No. 09/920,286; Filed: August 2, 2001

For: Process for the Production of Multiple Cross-Linked

Hyaluronic Acid Derivatives

Inventor: Xiaobin Zhao

Our Ref: 0623.1110001/JMC/MGP

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. PTO Fee Transmittal (Form PTO/SB/17) (in duplicate);
- 2. Petition for Extension of Time Under 37 C.F.R. § 1.136(a)(1) (in duplicate);
- 3. Copy of Notice to File Missing Parts of Nonprovisional Application;
- 4. An original Combined Declaration and Power of Attorney for Patent Application;
- 5. A one (1) page abstract;
- 6. An original executed Power of Attorney from Assignee with Delegation;
- 7. A Certificate Under 37 C.F.R. § 3.73(b) from Assignee, executed by an officer of Vitrolife UK Limited, with a copy of the Assignment attached thereto;
- 8. One (1) return postcard; and
- 9. Our Check No. <u>33722</u> for \$1,296.00 to cover: \$ <u>740.00</u> Filing Fee for Patent Application (37 C.F.R. § 1.16);

Commissioner for Patents January 2, 2002 Page 2

- \$ <u>36.00</u> Extra claim fee (37 C.F.R. 1.16);
- \$ 280.00 Multiple dependent claim surcharge (37 C.F.R. § 1.16);
- \$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and
- \$ 110.00 For Extension fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

John M. Covert

Attorney for Applicant Registration No. 38,759

JMC/MGP/awt Enclosures

P:\USERS\ATERRY\0623\111-1\pto cover letter NTFMP

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0851-0032
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Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995

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Application Number 09/920,286 August 2, 2001 Filing Date First Named Inventor Xiaobin ZHAO To Be Assigned **Examiner Name Group Art Unit** 1623 0623.1110001/JMC/MGP Attorney Docket No.

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check one) FEE CALCULATION (continued)										
METHOD OF PAYMENT (check one)				ADDITI	CONTAIL	DEEC	PEE	CALCULATION (CO	nunucu)	
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:				3. ADDITI Large I		Small	Entity			
Deposit Account Number	19-003	6		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Des	scription	Fee paid
Deposit Account Name	Sterne,	Kessler, Goldstein & Fo	ox P.L.L.C.	105	130	205	65	Surcharge - late filing fee	or oath	130.00
				127	50	227	25	Surcharge - late provisional f	iling fee or cover sheet	
☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17				139	130	139	130	Non-English specifica	ation	
☐ Applicant claims small entity status				147	2,520	147	2,520	For filing a request for	ex parte reexaminat	ion
See 37 CFR 1.27				112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
2. Payment Enclosed.				113	1,840*	113	1,840*	Requesting publication of	SIR after Examıner ac	tion
Check Credit card Money Order Other*  **Charge any deficiencies or credit any overpayments in the fees or fee caticulations of Parts 1, 2 and 3 below to Deposit Account No 19-0036.			115	110	215	55	Extension for reply with	nin first month	110.00	
	FEE	CALCULATION		116	400	216	200	Extension for reply with	nin second month	
1. BASIC FILING	FEE			117	920	217	460	Extension for reply with	hin third month	
발해 Large EntitySm	all Enti	tv		118	1,440	218	720	Extension for reply with	hin fourth month	
Large Entity Sm Fee Fee Fee Code (\$) Co	e Fee de (\$)	Fee Description   F	ee Paid	128	1,960	228	980	Extension for reply with	hin fifth month	
101 740 20		Utility filing fee	740.00	119	320	219	160	Notice of Appeal		
106 330 200				120	320	220	160	Filing a brief in suppor	t of an appeal	
107 510 207		•		121	280	221	140	Request for oral hearing	ng	
108 740 20				138	1,510	138	1,510	Petition to institute a p	ublic use proceedin	g
174 160 21				140	110	240		Petition to revive - una	voidable	
9.3		, , , , , , , , , , , , , , , , , , , ,		141	1,280	241	640	Petition to revive - unit		
SUBTOTAL (1) (\$) 740.00			142	1,280	242	640	Utility issue fee (or rei	ssue)		
302.5	(-,	(+)		143	460	243	230	Design issue fee		
				144	620	244	310	Plant issue fee		
				122	130	122	130	Petitions to the Comm	issioner	
2. EXTRA CLAIN	I FEES	Fee from Extra below	Fee Paid	123	130	123	130	•		
Total Claims _22_	_ 20*		= 36.00	126	180	126	180	Submission of Informa	ation Disclosure Stn	nt
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Multiple Depende	nt	280.00	= 280.00	146	740	246	370	Filing a submission af (37 CFR 1.129(a))	ter final rejection	
Large Entity Sm Fee Fee Fee	e Fee			149	740	249	370	For each additional in (37 CFR 1.129(b))	vention to be exami	ned
Code (\$) Co	• ,	Claims in excess of 20		179	740	279	370	Request for Continue	d Examination (RCI	=)
103 18 203 102 84 202		Independent claims in	excess of 3	169	900	169	900		d examination of a c	lesign
104 280 204	4 140	Multiple dependent clar		Otherse	(encois A			application		
108 84 209		**Reissue independent patent **Reissue claims in exc	claims over original	Other fee	(specity)	•				
110 18 210		original patent	cess of 20 and over	Other fee (specify):						
				*Reduced	by Basic	Filing Fe	e Paid	SUBTOTAL	(3) (\$) 240.0	0
** or number previously paid, if greater, For Reissues, see above				<u> </u>		_			Complete (if app	
SUBMITTED BY  Name (Print/Type) John M. Covert					Registration No. 38 759 Telephone 2		202-371-2600			
						Attorney/Age	erit)		Date	January 2, 2002
Signature Ala M. Gru X Date January 2, 2002										

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FIES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## United States Patent and Trademark Office

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920.286	08/02/2001	Xiaobin Zhao	0623.1110001

08/02/2001 09/920,286

0623.1110001

Date Mailed: 10/01/2001

26111 STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, N.W., SUITE 600 WASHINGTON, DC 20005-3934

**CONFIRMATION NO. 3882 FORMALITIES LETTER** OC000000006821307

TICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$342.
  - \$72 for 4 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1182.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 An abstract was not provided for this application. An abstract of the technical disclosure is required under 01/03/2002 SDENBOB1 70000094 09920286

01 FC:101 740.00 OP 02 FC:104 280.00 DP 03 FC:105 36.00 OP

A copy of this notice MUST be returned with the reply.

09920286 01/11/2002 SSALEEKU 00000015 190036

01 FC:103

36.00 CH

Ü #1.5 Ü 25  Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE